



Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

<i>Complete if Known</i>	
<i>Applicant Number</i>	09/774,870
<i>Filing Date</i>	January 31, 2001
<i>First Named Inventor</i>	Ralston, Geoffrey D.
<i>Art Unit</i>	2176
<i>Examiner Name</i>	-----
<i>Attorney Docket Number</i>	017887-008100US

U.S. PATENT DOCUMENTS+

CITED PATENT DOCUMENTS					
		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)			
WJS	A1	US-5,999,967	12-07-1999	Sundsted	
	A2	US-5,999,932	12-07-1999	Paul	
	A3	US-6,161,130	12-12-2000	Horvitz et al.	
	A4	US-6,199,103 B1	03-06-2001	Sakaguchi et al.	
	A5	US-6,321,267 B1	11-20-2001	Donaldson	
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	A7	US-6,393,465 B1	05-21-2002	Leeds	
↓	A8	US-6,421,709 B1	07-16-2002	McCormick et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	B1							<input type="checkbox"/>
	B2							<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	C1		
	C2		

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Examiner Signature		Date Considered	4-8-2004
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). ³Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Attorney Docket No.: 17887-008100US	Application No.: 09/774,870
		Applicant: Geoffrey D. Ralston et al.	
		Filing Date: January 31, 2001	Group:

Reference Designation		U.S. PATENT DOCUMENTS				Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
4B AA	5,909,677	6/1/99	Broder et al.	707	3	
4B AB	5,974,481	10/26/99	Broder	710	49	
4B AC	5,999,932	12/7/99	Paul	707	10	
4B AD	6,023,723	2/8/00	McCormick et al.	709	206	
4B AE	6,052,709	4/18/00	Paul	709	202	
4B AF	6,072,942	6/6/00	Stockwell et al.	395	200.36	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

4B AG	Manber, Udi, "Finding Similar Files in a Large File System," <i>Dept. Computer Sci. Univ. Arizona</i> , October 1993.
4B AH	Iwanchuk, Russell, "Integralis Inc.:MIMEsweeper" <i>PC Magazine</i> , http://www.zdnet.com/products/content/pcmg/1607/pcmg0221.html , April 8, 1997.
4B AI	Sullivan, Eamonn, "The 'Star Wars' phase of anti-spam tools," <i>PC Week Online</i> , http://www.zdnet.com/eweek/opinion/0316/16isigh.html , March 16, 1998.
4B AJ	"SpamEater Pro 2.7.0" <i>PC Magazine</i> , http://www.zdnet.com/pcmag/stories/reviews/0,6755,410471,00.html , August 17, 1999.
4B AK	"Spam Buster 1.63", <i>PC Magazine</i> , http://www.zdnet.com/pcmag/stories/reviews/0,6755,410470,00.html , August 17, 1999.
4B AL	"More Spam Fighters," <i>PC Magazine</i> , August 18, 1999.
4B AM	Hu, Jim, "Yahoo adds spam filter to email, but will it work?" http://news.cnet.com/category/0-1005-200-1476013.html , December 1, 1999.
4B AN	Festa, Paul, "Are new Yahoo, Hotmail spam filters better than past efforts?" http://news.cnet.com/category/0-1005-200-1488576.html , December 8, 1999
4B AO	"How does Brightmail know which email is spam?" http://www.brightmail.com/cgi-binj/faq/cgi?type=one&query=9 , Jan. 12, 2000.
4B AP	Festa, Paul, "Yahoo, others move to defuse email password-stealing threat," http://news.cnet.com/news/0-1005-202-1845138.html , May 9, 2000.
4B AQ	Hampton, Catherine A., "The Spam Bouncer," version 1.01, http://www.spambouncer.org , May 15, 2000.
4B AR	"Spam Buster Overview: Spam Criteria," http://www.contactplus.com/products/spam/spamover/overview2.htm , printed May 19, 2000.
4B AS	"Hit back at the Spammers!" http://www.cix.co.uk/~net-services/spam/spam_hater.htm , printed May 19, 2000
4B AT	"Flame Thrower," http://www.xeaglex.com/ftfaq.html , printed May 19, 2000.
4B AU	"Flame Thrower Frequently Asked Questions," http://www.xeaglex.com/ftfaq.html , printed May 19, 2000.
4B AV	Rimmer, Steven William, "Death to Spam: A Guide to Dealing with Unwanted E-mail," http://www.mindworkshop.com/alchemy/nospam.html , printed July 14, 2000.
4B AW	"Welcome, Hungry Spambots!" http://www.obliquity.com/computer/spambait/ , printed July 14, 2000.
4B AX	"Manuals," http://www.karkamiel.com/manuals.htm , printed July 14, 2000.
4B AY	"Manuals-The Source," http://www.karkamiel.com/source.htm , printed July 14, 2000.
4B AZ	"Manuals-Open Relay," http://www.karkamiel.com/or.htm , printed July 14, 2000.
4B BA	"Manuals-Website," http://www.karkamiel.com/website.htm , printed July 14, 2000.
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		Filing Date: January 31, 2001	Group:
<i>43</i> BC	"Manuals-Forgery," http://www.karkamiel.com/forgery.htm , printed July 14, 2000.		
<i>45</i> BD	"Manuals-Forgery II," http://www.karkamiel.com/forgery2.htm , printed July 14, 2000.		
<i>43</i> BE	Prakash, Vipul Ved, "Ricochet: Automated agent for tracing and reporting internet junk mail," printed July 14, 2000.		
EXAMINER	<i>4 - Dec 04</i>	DATE CONSIDERED	<i>4 - 08 - 04</i>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

